

Express Mail Label No. EV 175963629 US
Patent

ATTORNEY DOCKET NO.: D0932-00416
[VS-8836]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Saul Le-Garcia Rodolfo et al.

Serial No.: not yet assigned

Group Art Unit: not yet assigned

Filed: herewith

Examiner: not yet assigned

For: **HIDDEN VENTILATION TRIM ACCESSORY**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Express Mail Label No. EV 175963629 US

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service using express mail Post Office to addressee service, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,

January 14, 2004
Date
Steven E. Koffs
Steven E. Koffs, Reg. No. 37,163

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Dear Sir:

Enclosed herewith is an Information Disclosure Statement pursuant to 37 CFR.
§ 1.56 in connection with the above-identified application, which statement is being filed:

- ☒ [X] Together with the present application.
- ☐ [] Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. **[37 CFR § 1.97(b)]**
- ☐ [] After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. **[37 CFR § 1.97(c)]**
- ☐ [] After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. **[37 CFR § 1.97(d)]**

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

☒ No separate requirements are needed.

☒ No additional fee is required.

☐ **Fee Under 37 CFR § 1.97(c)**

The fee of \$180.00 for submission of an IDS under § 1.97(c) as set forth in § 1.17(p) accompanies this statement.

☐ **Fee Under 37 CFR § 1.97(d)**

The fee of \$180.00 for submission of an information disclosure statement under § 1.97(d) set forth in § 1.17(p) accompanies this statement.

☐ **Certification Under 37 CFR § 1.97(e)**

☐ Each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; or

☐ No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this statement.

Provision of Copies of References

☒ Copies of cited references which are U.S. Patents or U.S. Patent Application Publications are not required for this application, which has a filing date after June 30, 2003 (1276 OG 55, 5 August 2003).

☐ A copy of each of the references listed on the attached Form PTO-1449 is enclosed herewith and forms a part hereof.

- ☐ Partial Translations of References are enclosed herewith and form a part hereof.
- ☐ A copy of the European Search Report from a corresponding or related EPO application is enclosed herewith.
- ☐ A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith.

Identification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Need Be Submitted

- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior U.S. Application No(s). . The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore are not required to be provided in this application:
- ☒ The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. 04-1679. A duplicate copy of this transmittal is attached.

Respectfully submitted,

DATE: January 14, 2004


Steven E. Koffs
Registration No. 37,163
Attorney for Applicant(s)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 3

Complete if Known

Application Number	NOT YET ASSIGNED
Filing Date	HEREWITH
First Named Inventor	Saul Le-Garcia Rodolfo
Art Unit	NOT YET ASSIGNED
Examiner Name	NOT YET ASSIGNED
Attorney Docket Number	D0932-00416

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 3,159,943	03-30-1960	B. L. Sugar et al.	
		US- 3,246,436	01-09-1963	A. D. Roush	
		US- 3,289,380	08-09-1963	J. Charniga, Jr.	
		US- 4,102,106	07-25-1978	Golder et al.	
		US- 4,188,762	02-19-1980	Tellman	
		US- 4,665,675	05-19-1987	Kelly	
		US- 4,930,287	06-05-1990	Volk et al.	
		US- 5,016,415	05-21-1991	Kellis	
		US- 5,022,207	06-11-1991	Hartnett	
		US- 5,167,104	12-01-1992	Alvarado	
		US- 5,195,283	03-23-1993	MacLeod et al.	
		US- 5,243,793	09-14-1993	MacLeod et al.	
		US- 5,292,281	03-08-1994	Butler	
		US- 5,303,525	04-19-1994	Magee	
		US- 5,657,585	08-19-1997	Zaccagni	
		US- 5,711,117	01-27-1998	Zaccagni et al.	
		US- 5,718,086	02-17-1998	Dunn	
		US- 5,791,985	08-11-1998	Schiedegger et al.	
		US- 5,799,446	09-01-1998	Tamlyn	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket Number	D0932-00416

Sheet 2 of 3**U. S. PATENT DOCUMENTS**

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		Number-Kind Code ² (if known)			
		US- 5,809,731	09-22-1998	Reiss	
		US- 5,881,502	03-16-1999	Tamlyn	
		US- 5,937,592	08-17-1999	Tamlyn	
		US- 5,946,876	09-07-1999	Grace, Sr. et al.	
		US- 5,947,816	09-07-1999	Schiedegger et al.	
		US- 5,956,914	09-28-1999	Williamson	
		US- 6,134,855	10-24-2000	Beck	
		US- 6,223,488 B1	05-01-2001	Pelfrey et al.	
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		Filing Date	HEREWITH		
		First Named Inventor	Saul Le-Garcia Rodolfo		
		Art Unit	NOT YET ASSIGNED		
		Examiner Name	NOT YET ASSIGNED		
Sheet	3	of	3	Attorney Docket Number	D0932-00416

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Alside "Charter Oak" soffit sample and description, web page http://www.alside.com/siding/chartsof.htm , date cited 07/16/2002	
		Crane Plastics "Premium Point" soffit sample and description, web page http://www.vinyl-siding.com/Soffits.html , date cited 07/16/2002	
		Heartland "Woodhaven" soffit sample and description, web page http://www.heart-land.com/cr/HO/Soffitinfo.html , date cited 07/16/2002	
		Louisiana Pacific "I-Span" soffit sample and description, ©2000 Louisiana-Pacific Corporation	

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